FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 10/22/85

b6
b7C

TO: DIRECTOR, FBI
(ATTN: SSA [redacted]
PUBLIC CORRUPTION UNIT)

FROM: ADIC, NEW YORK (56-NEW) (C-1) (P)

SUBJECT: [redacted]
dba FIRST JERSEY SECURITIES;
ELECTION LAWS
OO: NEW YORK

Enclosed for the Bureau are the original and three copies of a self-explanatory letterhead memorandum setting forth facts of captioned matter suitable for dissemination to Department of Justice (DOJ).

FD-217

ADMINISTRATIVE

V-32

The following background information is being set forth for HQ use:

DE-151 N-0-5853-1

The facts set forth in the LHM are the result of information developed during the course of an ongoing investigation begun in 1982, by the Securities and Exchange Commission (SEC) and the office of the United States Attorney (USA), Southern District of New York (SDNY), into the trading practices of First Jersey Securities (FJS). Assistant United States Attorney (AUSA) [redacted] Chief, Securities and Commodity Frauds, SDNY has advised [redacted]

NOV 7 1985

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3 - Bureau (Encl. 4)
(1-SSA [redacted]
2 - New York (56-NEW)

11/5/85

[redacted]
(6)

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

JAN 24 1986

FJS maintains a headquarters location in Manhattan as well as one brokerage office. Numerous other offices are maintained in various locations througout New Jersey.

Additionally, [redacted] is currently employed as [redacted] for FJS. [redacted] was extensively querried by the media regarding the status of the federal investigation of FJS. [redacted] gave FJS a "clean bill of health" in all respects.

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AUSA [redacted] and AUSA [redacted] SDNY, have indicated [redacted]

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As HQ is aware, BELL was not successful in his bid for the Republican nomination and the contributions therefore did not adversely impact upon the outcome of the primary election.

New York will conduct no investigation into captioned matter pending notification by HQ regarding DOJ opinion.

REQUEST OF THE BUREAU

Bureau is requested to furnish enclosed LHM to Department of Justice (DOJ) for their opinion.

U.S. Department of Justice

Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10278

In Reply, Please Refer to
File No.

[redacted] b6 b7C
doing business as
First Jersey Securities;
Election Laws

Information regarding conduit financing, orchestrated by [redacted] doing business as (dba) First Jersey Securities (FJS) was received during the course of an on-going investigation regarding the trading practices of FJS conducted by the Securities and Exchange Commission (SEC) and the Office of the United States Attorney (USA), Southern District of New York (SDNY). b6 b7C

FJS maintains a headquarters location and one brokerage office in Manhattan. Numerous other FJS offices are located througout New Jersey.

Information from a reliable source was receieved that [redacted] Bell was then running for nomination as the Republican candidate for the United States Senate seat for the State of New Jersey. [redacted] b6 b7C b7D

Allegations have also been received by AUSA [redacted] Chief, Securities and Commodity Frauds, SDNY, that brokers in the Woodbridge, New Jersey Office of FJS were instructed to make contributions to the Jeffrey Bell for Senate Campaign and were reimbursed accordingly. b6 b7C

56-5853-1

ENCLOSURE

Documents currently in the possession of AUSA [redacted] received from the Federal Election Commission (FEC), reflect contributions made by 140 FJS employees to the Bell campaign. Each contribution is the maximum $1,000 allowable for an individual. Based on an analysis of the FEC information this $140,000 represents between 8% and 10% of total campaign financing raised by the Jeffrey Bell for Senate Committee. b6 b7C

AUSA [redacted] has advised [redacted]

[redacted] b6 b7C b7E

AUSA [redacted] advised on October 21, 1985 that [redacted] b5 b6 b7C

[redacted]

Airtel

12/4/85

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[redacted]

Director, FBI

SAC, New York (C-1)

[redacted]

dba FIRST JERSEY SECURITIES;
ELECTION LAWS
OO: NEW YORK

Reference New York airtel and LHM to Bureau 10/22/85.

Enclosed for New York is one copy of a letter dated 12/2/85, from Craig C. Donsanto, Director, Election Crimes Branch, Public Integrity Section, Criminal Division, Department of Justice, addressed to Rudolph W. Giuliani, U. S. Attorney, Southern District of New York. This letter authorizes the FBI to conduct an investigation regarding First New Jersey Securities as outlined on page eight of the letter.

New York is to promptly initiate the requested investigation. New York should submit periodic updates, suitable for dissemination to the Department of Justice, concerning investigation conducted in this matter.

Enclosure

1 - [redacted]

[redacted]

MAILED 5
DEC 05 1985
FBI

56-5853

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10 DEC 6 1985

1-ENCLOSURE

Exec AD Adm. ___
Exec AD Inv. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Insp. ___
Intell. ___
Lab. ___
Legal Coun. ___
Off. Cong. & Public Affs. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Telephone Rm. ___
Director's Sec'y ___

57FEB10

[redacted]

MAIL ROOM ☑

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10 1/85)
(Submit within 30 days from date of accomplishment)

[redacted] ECTOR, FBI

[redacted] C, ATLANTA

[redacted]

dba FIRST JERSEY SECURITIES;
ELECTION LAWS;
OO: NEW YORK

6

Bureau File Number	56C-450
Field Office File Number	#5
Squad or RA Number	[redacted]
Agent's Social Security No	[redacted]

Date 1-8-86

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☐ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

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1. Acctg Tech Assistance		8. Eng. Sect. Tape Exams		15. Photographic Coverage		22. Telephone Toll Recs	
2. Aircraft Assistance		9. Hypnosis Assistance		16. Polygraph Assistance		23. UCO Group I	
3. Computer Assistance		10. Ident Div Assistance		17. Search Warrant Executed		24 UCO Group II	
4. Consensual Monitoring		11. Informant Information		18. Show Money Usage		25. UC Other	
5. ELSUR - FISC		12. Lab Div Exams		19. Surveil Sqd. (SOG) Asst		26 NCAVC/ VI-CAP	
6. ELSUR - Title III		13. Lab Div Field Support		20. SWAT Team Action		27. Visual Invest - Analysis (VIA)	
7. Eng. Sect. Field Support		14. Pen Registers		21. Tech. Agt. or Tech Equip			

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 3
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$ NY	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ | _____ District State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	[redacted]	Probation Yrs	Mos
☐ Felony								
☐ Misdemeanor								
☐ Plea				Total Fines $				
☐ Trial				Add consecutive sentences together Enter longest single concurrent sentence. Do not add concurrent sentences together Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail				
☐ Pretrial Diversion								

b6
b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together Enter longest single concurrent sentence Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp = 2 yrs. In-Jail					
☐ Pretrial Diversion									

V-201
DE-103
56-5853

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

1/6/86 - SERVED ON [redacted] Issued by SDNY JAN 16 1986
1/7/86 - SERVED ON [redacted] " " "
1/7/86 - SERVED ON [redacted] " " "

R-232

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2 - Bureau
2 - Field Office
* See codes on reverse side.

Atlanta (1-56C-450) (1-66-6855FI) (1-AT [redacted])

[redacted]

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

MAR 27 1986

Subject Description Codes*
- Enter Description Code Only When Reporting a Conviction

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:
- A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.
- C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:
It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:
Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:
1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:
The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:
-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:
C = Chinese; I = Indian/American; J = Japanese; N = Negro; O = All other; U = Unknown; W = White

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days after date of accomplishment)

[redacted]

I (WPBRA)

, dba

ECURITIES;

(OO: NEW YORK)

Bureau File Number	
Field Office File Number	56C-219
Squad or RA Number	1295
Agent's Social Security No.	

Date 1/9/86

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consens Monitorir	Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sec Field Support	Pen Registers	21. Tech. Agt. or Tech Equip	

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A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served 1
Criminal Summons
Local Crim. Summons

FBI Subj. Resisted ; Armed

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists ; All Other Hostage Situations

Missing or Kidnaped Children Located

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District / District State Conviction or Pretrial Div. Date Sentence Date No. of Subjects Acquitted Dismissed

Subject 1 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 3 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 2 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 4 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
		56-							

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 1/2/86, [redacted] was served a Federal Grand Jury Subpoena duces tecum requiring his appearance in New York on [redacted]

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JAN 16 1986

[redacted]

b6
b7C

2 - Bureau
2 - Field Office (1 - 56C-219) (1 - 66-418)
* See codes on reverse side.
[redacted] (4)

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
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Code No	Description
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- 2C Top Con Man

Foreign Nationals:
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- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
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- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

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Investigative Assistance or Techniques (IA/Ts) Used:

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The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant-information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C = Chinese; I = Indian/American; J = Japanese; N = Negro; O = All other; U = Unknown; W = White

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective [redacted]
(Submit [redacted] of accomplishment)

TO: [redacted] FBI

FROM: [redacted] MPA

SUBJE [redacted]

[redacted]

d/b/a FIRST JERSEY,
SECURITIES;
ELECTION LAWS
(OO: NEW YORK)

[redacted]

Bureau File Number
56C-104
Field Office File Number
SQ 3
Squad or RA Number
[redacted]
Agent's Social Security No.
[redacted]

Date 1/17/86

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

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2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensu Monitoring [redacted]	[redacted] mant mation	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	[redacted] Div ns	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
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b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 2
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

(X)

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed _____

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	In-Jail Yrs	Mos	[redacted] Mos
☐ Felony						
☐ Misdemeanor						
☐ Plea				Total Fines $		
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.		
☐ Pretrial Diversion						

b6
b7C

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

56-5155-22

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 1/10/86 and 1/13/86, [redacted] respectively, were served with FGJ subpoenas requiring their appearance on [redacted] The subpoenas were served by Special Agent (SA) [redacted]

b3
b6
b7C

7 FEB 4 1986

[redacted]

b6
b7C

2 - Bureau
3 - Field Office (1 - 66-3, Sub 1)(1 - 66-13)
* See codes on reverse side.
[redacted] (5)

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

AUG 2 1

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C = Chinese; I = Indian/American; J = Japanese; N = Negro; O = All other; U = Unknown; W = White

FD-36 (Rev. 8-26-82)

FBI

[redacted]

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 2/13/86

b6
b7C

TO: DIRECTOR, FBI (56-5853)
(ATTN: SSA [redacted] PUBLIC CORRUPTION UNIT)

[redacted]: ADIC, NEW YORK (56C-603) (P) (C-1)

b6
b7C

SUBJECT: [redacted]
dba FIRST JERSEY SECURITIES,
NEW YORK, NEW YORK;
ELECTION LAWS
OO: NEW YORK

RE: NYairtel and LHM to Director, dated 10/22/85 and HQ airtel to New York, dated 12/4/85.

Enclosed for FBIHQ are the original and three copies of a self-explanatory LHM suitable for dissemination.

For the information of FBIHQ, no media coverage has been given to captioned matter in the New York area. Inquiries were made by a reporter for the Philadelphia Inquirier to the USA, SDNY, centered primarily upon allegations that in the late 1970's [redacted] AUSA [redacted] advised he has heard no other indications of potential media coverage, nor did he feel media exposure would be generated prior to indictments in this matter.

b6
b7C

NYO will keep FBIHQ apprised of the status of captioned matter.

3 - Director (56-5853) (Encl. 4)
(1-SSA [redacted] Public Corruption Unit)
1 - New York (56C-603)

b6
b7C

56-5853-3

[redacted]
(5)

[redacted]

FEB 21 1986

[redacted] 2/24/86

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

[redacted]

6

U.S. Department of Justice

Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10278

In Reply, Please Refer to
File No.

[redacted] b6
dba First Jersey Securities b7C
Election Laws

Information regarding conduit financing, orchestrated by [redacted] First Jersey Securities (FJS) was received during the course of an on-going investigation into the trading practices of FJS conducted by the Securities and Exchange Commission (SEC) and the office of the United States Attorney (USA), Southern District of New York (SDNY). b6 b7C

Allegations were made that [redacted] through FJS, pressured employees to contribute to the campaign fund of Jeffrey Bell, who was then running for nomination as the Republican candidate for the United States Senate seat for the State of New Jersey. It was further alleged that those employees who contributed were subsequently reimbursed for their contributions by their branch managers. b6 b7C

On December 4, 1985 a full investigation was initiated into captioned matter by the New York Office (NYO) of the Federal Bureau of Investigation (FBI). A review of Federal Election Commission (FEC) records relating to contributions to the Jeffrey Bell for Senate Campaign (JBSC) identified forty-one contributors to the JBSC who were employees of FJS in 1982, but who had subsequently quit and currently were employed by other firms. [redacted] were selected as initial targets for interview before the Federal Grand Jury (FGJ), SDNY. b3

To date, [redacted] have testified, [redacted] b3 b6 b7C

56-5853-3

As a result of this testimony [redacted] have been targeted for the next round of FGJ appearances. On February 7, 1986 [redacted] was served a target letter which advised him of his status in connection with this investigation. [redacted] advised during interview [redacted] obtained via FGJ subpoena, [redacted] b3

It is anticipated interviews of the following [redacted] will be conducted before March 7, 1986: b3

[redacted] b3 b6 b7C

Additionally, further review of FEC records has revealed [redacted] These individuals include [redacted] and [redacted] b3 b6 b7C

These individuals have been supoenaed [redacted] b3 b6 b7C

AUSAs [redacted] SDNY [redacted] b3 b5 b6 b7C

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 4/1/86

TO: DIRECTOR (56-5853)
(ATTN: SSA [redacted]
PUBLIC CORRUPTION UNIT)

[redacted]M: ADIC, NEW YORK (56C-603) (P) (C-1)

[redacted]JECT: [redacted]
DBA FIRST JERSEY SECURITIES;
ELECTION LAWS
(OO:NY)

RE: NYairtel and LHM to Director dated 2/13/86.

Enclosed for FBIHQ are the original and three copies of an updated letterhead memorandum suitable for dissemination to Department Of Justice (DOJ).

ADMINISTRATIVE:

It is apparent [redacted] targeted in this investigation are represented by First Jersey Securities (FJS) associated attornies. As a result, none of these individuals see any reason to cooperate, apparently prefering to take their chances in a trial. It is anticipated [redacted], as set forth in enclosed LHM, [redacted]

b5
b6
b7C

5 - 5853 - 3X

3 - Bureau (56-5853) (Encl. 4)
(1-SSA [redacted] Public Corruption Unit)
1 - New York (56C-603)

[redacted]
(5) [redacted]

[redacted]

2 APR 7 1986

4 - ENCLOSURE

[redacted] 4/7/86

b6
b7C

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

R-232

AUG 5 1986

6

U.S. Department of Justice

Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10278

In Reply, Please Refer to
File No.

[redacted] b6
dba First Jersey Securities b7C
Election Laws

Information regarding conduit financing, orchestrated by [redacted] First Jersey Securities (FJS) was received during the course of an on-going investigation into the trading practices of FJS conducted by the Securities Exchange Commission (SEC) and the office of the United States Attorney (USA), Southern District of New York (SDNY). b6 b7C

Allegations were made that [redacted] through FJS, pressured employees to contribute to the campaign fund of Jeffrey Bell, who was then running for nomination as the Republican candidate for the United States Senate seat for the State of New Jersey. It was further alleged those employees who contributed were subsequently reimbursed for their contributions by their branch managers. b6 b7C

Initial investigation targeted [redacted] have testified before the FGJ, SDNY [redacted] b3 b6 b7C

[redacted] were subpoenaed [redacted] and were furnished by them pursuant to this subpoena. [redacted] have been subpoenaed [redacted] b3 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

56-5853-3X

ENCLOSURE 1

[redacted] subpoenaed before the FGJ have [redacted] b3 b6 b7C

On March 27, 1986, Wallace L. Timmeny, Attorney, advised his client, Charles Oehlert, would not cooperate in captioned matter.

It is anticipated [redacted] b3 b5

On March 28, 1986, a meeting was held between Supervisory Special Agent (SSA) [redacted] b6 Federal Bureau of Investigation (FBI), Special Agent (SA) b7C [redacted] FBI, Assistant United States Attorney (AUSA) [redacted] Chief, Securities and Commodity Frauds, SDNY, and AUSA [redacted] SDNY, at which time the results of investigation to date were discussed.

AUSA [redacted] advised inasmuch as [redacted] b5 b6 b7C

AUSA [redacted] also indicated United States Attorney, Rudolph Giuliani, has advised it is his intent to [redacted] b5 b6 b7C

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

[redacted]

SAC, BALTIMORE

SUBJECT: [redacted]
DBA FIRST JERSEY SECURITIES;
ELECTION LAWS
OO: NEW YORK

[redacted]

Bureau File Number
56C-225
Field Office File Number
2490
Squad or RA Number
[redacted]
Agent's Social Security No.
[redacted]

Date 4/15/86

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☑ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of Subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 1
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ | _____ District State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Su Yrs	[redacted]	rs	Probation Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sente					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

b6
b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

56-1002-34

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

APR 24 1986

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 4/9/86, U.S. District Court, Southern District of New York subpoena served upon [redacted] for [redacted]

b3
b6
b7C

[redacted]

DEC 344

2 Bureau
2 - Field Office
* See codes on reverse side.

1 - New York (56C-603)(Info.)
(1 - 56C-225)(1 - 66-27 SUB A)

[redacted]

(5)

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

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- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

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- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

[redacted]

MI (WPBRA)

, dba
ECURITIES,

Bureau File Number
56C-219
Field Office File Number
1295
Squad or RA Number
Agent's Social Security No.

Date 4/7/86

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? XX No ☐ Yes - If Yes, rate each used as follows:

1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 2
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$	[redacted]	$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

b6
b7C

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ | _____ District State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 [redacted] In-Jail.					
☐ Pretrial Diversion									

b6
b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	In-Jail Yrs	Mos	[redacted]	Probation Yrs	Mos
☐ Felony								
☐ Misdemeanor								
☐ Plea				Total Fines $				
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.				
☐ Pretrial Diversion								

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

56-5853-3

On 3/27/86, two Federal Grand Jury Subpoenas were served on [redacted] requiring appearance in Grand Jury proceedings in New York, New York.

b3

2 APR 11 1986

[redacted]

b6
b7C

344

DEC 1 1986

2 - Bureau
2 - Field Office (1 - 56C-219) (1 - 66-418)
* See codes on reverse side.
1 - New York (Info)
[redacted] (5)

AUG 1 1 1987

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

- Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
- The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

☆ U.S.G.P.O.: 1986-491-509/45743

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT

[redacted]

s from date of accomplishment)

ECTOR, FBI

C, ALEXANDRIA (P)

[redacted]

dba First Jersey Securities
ELECTION LAWS
(OO: NY)

Bureau File Number	
Field Office File Number	56C-41
Squad or RA Number	2
Agent's Social Security No.	[redacted]

Date 3/12/86

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - [redacted]
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____; Armed _____

Subpoenas Served 1
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (E[redacted]

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$

Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ | _____ District State Conviction or Pretrial Div. Date Sentence Date No. Sub[redacted]ed Dismissed

b6
b7C

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

56-5453-3

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

4 MAR 19 1986

[redacted] served 3/7/86, with Grand Jury subpoena

b3
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[redacted]

b6
b7C

20 DEC 10 1986

2 - Bureau 2-New York (56C-603)
2 - Field Office (1-56C-41) (1-Statistics in Process) [redacted] (6)
* See codes on reverse side.

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

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- 1A Boss, Underboss or Consigliere
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- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

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Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 4/30/86

TO: ADIC, NEW YORK (56C-603) (C-1)

FROM: SAC, ALEXANDRIA 56C-41) (RUC)

[redacted] dba;
FIRST JERSEY SECURITY;
ELECTION LAWS;
(OO:NEW YORK)

b6
b7C

Reference New York airtel to Alexandria, dated 4/3/86; Alexandria telephone call to New York [redacted] C1) on April 15, 1986.

Enclosed for New York are original and one copy of unexecuted subpoena directed to [redacted] service of which was requested by above reference airtel for appearance at grand jury on [redacted]

b3
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Alexandria was unable to locate [redacted] A pretext call to [redacted] last known business location, [redacted] on April 15, 1986, revealed that [redacted] had moved to [redacted] approximately two months previous. Individual receiving telephone call advised that [redacted] had left no forwarding telephone number but had left the following address:

b3
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[redacted]

It should be noted that above information was communicated to New York, on April 15, 1986. As no additional investigation remains to be done, this matter is RUC'D.

2-Bureau
1-Alexandria
[redacted]
(3)

56-5853-
NOT RECORDED
3 MAY 6 1986

b6
b7C

Approved: [redacted] Transmitted _____ (Number) (Time) Per _____

64 SEP 30 1986

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: **DIRECTOR, FBI**

FR[redacted]C, MIAMI (WFBRA)
SUBJECT: [redacted] dba
FIRST JERSEY SECURITIES;
ELECTION LAWS
(OO: NEW YORK)

Bureau File Number	56C-219
Field Office File Number	1295
Squad or RA Number	
Agent's Social Security No.	

Date 3/13/86

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? [XX] No [ ] Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 3
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ | _____ District State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
[ ] Felony									
[ ] Misdemeanor									
[ ] Plea				Total Fines $					
[ ] Trial				Add consecutive sentences together.					
[ ] Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
[ ] Felony									
[ ] Misdemeanor									
[ ] Plea				Total Fines $					
[ ] Trial				Add consecutive sentences together.					
[ ] Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

b6
b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
[ ] Felony									
[ ] Misdemeanor									
[ ] Plea				Total Fines $					
[ ] Trial				Add consecutive sentences together.					
[ ] Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
[ ] Felony									
[ ] Misdemeanor									
[ ] Plea				Total Fines $					
[ ] Trial				Add consecutive sentences together.					
[ ] Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

SEARCHED INDEXED SERIALIZED FILED
56-5852-3X4
12 MAR 20 1986

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 3/10/86, [redacted]
and [redacted] were served Grand Jury Subpoenas requiring their appearance in Federal Grand Jury, New York, New York on [redacted]

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b7C

THREE

60 JUN 30 1987

2 - Bureau
2 - Field Office (1 - 56C-219) (1 - 66-418)
* See codes on reverse side.
1 - New York (Info) (56C-603)
[redacted] (5)

b6
b7C

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

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Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

FORMS.TEXT HAS 1 DOCUMENT

INBOX.17 (#10167)

TEXT: NYO 006 121

DE NY

OO HQ

O 011838 MAY 86

RECEIVED

[redacted] b6 b7C

FM ADIC, NEW YORK (56C-603)

TO DIRECTOR (56-5853) IMMEDIATE

ATTN: SSA [redacted] PUBLIC CORRUPTION UNIT

Public Corr. Unit (write)

[redacted] b6 b7C

BT

UNCLAS

[redacted] DBA FIRST JERSEY SECURITIES, ELECTION LAWS;

OO: NEW YORK.

[redacted] b6 b7C

RE: NYAIRTEL AND LHM DATED 4/1/86.

ON MAY 1, 1986 PERJURY INDICTMENTS WERE RETURNED BY THE FEDERAL GRAND JURY (FGJ), SOUTHERN DISTRICT OF NEW YORK (SDNY) AGAINST [redacted] CHARLES OEHLERT [redacted] AS A RESULT OF INVESTIGATION IN CAPTIONED MATTER.

UNITED STATES ATTORNEY (USA) RUDOLPH W. GIULIANI MADE THE FOLLOWING PRESS RELEASE REGARDING THE INDICTMENTS:

PAGE TWO IMMEDIATE

"OUTLINE OF INDICTMENTS

UNITED STATES V. KENNETH VALENTINE

UNITED STATES V. JAMES R. GUNTLE, JR.

UNITED STATES V. CHARLES OEHLERT

UNITED STATES V. MICHAEL PATRICK FLANNERY

A FEDERAL GRAND JURY IN MANHATTAN TODAY RETURNED INDICTMENTS AGAINST KENNETH VALENTINE, JAMES R. GUNTLE, JR., CHARLES OEHLERT AND MICHAEL PATRICK FLANNERY, THREE CURRENT AND ONE FORMER EMPLOYEE OF FIRST JERSEY SECURITIES, INC.

RUDOLPH W. GIULIANI, UNITED STATES ATTORNEY FOR THE SOUTHERN DISTRICT OF NEW YORK PRAISED THE NEW YORK OFFICE OF THE FEDERAL BUREAU OF INVESTIGATION FOR ITS EXCEPTIONAL WORK ON THE INVESTIGATION OF THIS MATTER, AND EXPRESSED HIS APPRECIATION AS WELL TO THE SECURITIES AND EXCHANGE COMMISSION WHICH PROVIDED INVESTIGATIVE WORK THAT WAS ALSO EXCEPTIONALLY HELPFUL.

IN MAKING TODAY'S ANNOUNCEMENTS, UNITED STATES ATTORNEY GIULIANI ADVISED THAT THE INDICTMENT OF KENNETH VALENTINE ON TWO COUNTS OF PERJURY BEFORE THE GRAND JURY ARISES OUT OF A CONTINUING INVESTIGATION INTO CONTRIBUTIONS TO THE 1982

JEFFREY BELL FOR SENATE CAMPAIGN MADE IN THE NAME OF EMPLOYEES OF FIRST JERSEY SECURITIES, INC. ACCORDING TO ASSISTANT UNITED STATES ATTORNEY THOMAS E. MOSELEY, WHO HAS BEEN HANDLING THE CASE, THE INDICTMENTS OF JAMES R. GUNTLE, JR., CHARLES OEHLERT, AND MICHAEL PATRICK FLANNERY, ARISE OUT OF A CONTINUING INVESTIGATION INTO ALLEGATIONS OF PERJURY AND OBSTRUCTION OF JUSTICE BY EMPLOYEES OF FIRST JERSEY SECURITIES, INC. IN CONNECTION WITH AN SEC INVESTIGATION INTO TRADING PRACTICES OF THAT FIRM. CHARLES OEHLERT HAS BEEN THE MANAGER OF THE WAYNE AND LANCASTER, PENNSYLVANIA OFFICES OF FIRST JERSEY, JAMES R. GUNTLE, JR., HAS BEEN THE MANAGER OF THE FALLS CHURCH, VIRGINIA BRANCH OF FIRST JERSEY SECURITIES, INC. AND MICHAEL PATRICK FLANNERY HAS BEEN A SALESMAN AND ASSISTANT BRANCH MANAGER OF THE FALLS CHURCH BRANCH. THE INDICTMENTS CHARGE GUNTLE, OEHLERT, AND FLANNERY WITH MAKING A SERIES OF FALSE STATEMENTS TO THE SEC.

KENNETH VALENTINE

KENNETH VALENTINE, 28, OF 14 AUDOBON ST., ROCHESTER, NEW YORK IS CHARGED WITH TWO COUNTS OF PERJURY BEFORE THE GRAND JURY IN CONNECTION WITH QUESTIONS ABOUT THE SOURCE

OF HIS $1000 CONTRIBUTION TO THE JEFFREY BELL FOR SENATE CAMPAIGN. EACH COUNT CARRIES A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $250,000 FINE.

CHARLES OEHLERT

CHARLES OEHLERT, 67, 998 OLD EAGLE SCHOOL ROAD, WAYNE, PENNSYLVANIA, IS CHARGED WITH ONE COUNT OF PERJURY BEFORE THE SEC, ONE COUNT OF MAKING FALSE STATEMENTS BEFORE THE SEC AND ONE COUNT OF OBSTRUCTING AN SEC INVESTIGATION. THE PERJURY COUNT CARRIES A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $2000 FINE. THE FALSE STATEMENT COUNT CARRIES A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $10,000 FINE. THE OBSTRUCTION COUNT CARRIES A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $5,000 FINE.

JAMES R. GUNTLE, JR.

JAMES R. GUNTLE, 32, 411 JEFFERSON ST., ALEXANDRIA VIRGINIA 22134, IS CHARGED WITH ONE COUNT OF OBSTRUCTING AN SEC INQUIRY, TWO COUNTS OF SUBORNING WITNESSES TO COMMIT PERJURY TO THE SEC AND ONE COUNT OF MAKING FALSE STATEMENTS TO THE SEC. THE OBSTRUCTION COUNT CARRIES

A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $5,000 FINE. EACH SUBORNATION COUNT CARRIES A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $5,000 FINE. THE FALSE STATEMENT COUNT CARRIES A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $10,000 FINE.

MICHAEL PATRICK FLANNERY

MICHAEL PATRICK FLANNERY, 28, 8304 HONEY HILL RD., LAUREL, MARYLAND 20707, HAS BEEN CHARGED WITH ONE COUNT OF PERJURY BEFORE THE SEC, ONE COUNT OF FALSE STATEMENTS, AND ONE COUNT OF OBSTRUCTING AN SEC INQUIRY. THE PERJURY COUNT CARRIES A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $2000 FINE. THE FALSE STATEMENT COUNT CARRIES A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $10,000 FINE. THE OBSTRUCTION COUNT CARRIES A MAXIMUM PENALTY OF 5 YEARS IMPRISONMENT AND/OR A $5,000 FINE.

THE DEFENDANTS ARE SCHEDULED TO APPEAR FOR ARRAIGNMENT AT 10:00 AM ON MAY 8, 1986 IN COURTROOM 110, MANHATTAN FEDERAL DISTRICT COURT."

FOR THE INFORMATION OF FBIHQ AN ANONYMOUS TELEPHONE CALL WAS RECEIVED ON 4/16/86 FROM AN INDIVIDUAL IDENTIFYING HIMSELF AS [redacted]

[redacted] b7D

[redacted] A REVIEW OF CONTRIBUTOR LISTS OBTAINED FROM THE FEDERAL ELECTION COMMISSION (FEC) RESULTED IN THE IDENTIFICATION OF 15 FJS EMPLOYEES AND THEIR SPOUSES WHO CONTRIBUTED $1,000 EACH TO THE STEIN CAMPAIGN.

ADDITIONAL INFORMATION HAS BEEN DEVELOPED THAT FJS EMPLOYEES AT THE DIRECTION OF THEIR BRANCH MANAGERS, ALSO CONTRIBUTED TO THE CAMPAIGN OF GOVERNOR KEAN, STATE OF NEW JERSEY.

IT IS ANTICIPATED ADDITIONAL INDICTMENTS WILL BE FORTHCOMING AGAINST [redacted] BY 5/15/86.

EXTENSIVE MEDIA COVERAGE IS ANTICIPATED IN VIEW OF THE INTEREST SHOWN IN [redacted] ACTIVITIES IN THE PAST TWO WEEKS. b6 b7C

UPDATED LHM BEING FORWARDED UNDER SEPARATE COVER.

BT

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5/2/86

b6
b7C

JUDGE:

RE: [redacted] dba
FIRST JERSEY SECURITIES;
~~ELECTION LAWS~~
OO: NEW YORK

This investigation was initiated based upon information received during the course of an ongoing investigation conducted by the Securities and Exchange Commission (SEC) and the Office of the U. S. Attorney, Southern District of New York (SDNY). This information concerned the trading practices of First Jersey Securities (FJS) and conduit financing orchestrated by [redacted] doing business as FJS. Allegations were made that [redacted] through FJS, pressured employees to contribute to the campaign fund of Jeffrey Bell, who was then running for nomination as the Republican candidate for the U. S. Senate seat for the State of New Jersey. It was further alleged that those employees who contributed were subsequently reimbursed for their contributions by their branch managers.

b6
b7C

[redacted] testified before the Federal grand jury (FGJ) [redacted]

b3
b6
b7C

On 4/16/86, an anonymous telephone call was received from an individual identifying himself as [redacted]

b7D

A review of contributor lists obtained from the Federal Election Commission (FEC) resulted in the identification of 15 FJS employees and their spouses who contributed $1,000 each to the Stein campaign. Additional information has also been developed that FJS employees at the direction of their branch managers also contributed to the campaign of Governor Kean, State of New Jersey.

MAY 15 1986

b6
b7C

FILE

63

On 5/1/86, an FGJ, SDNY, returned indictments against [redacted] Charles Oehlert, and [redacted] three current and one former employee of FJS. [redacted] was charged with two counts of Perjury before the FGJ in connection with questions about the source of his $1,000 contribution to the Jeffrey Bell For Senate campaign. [redacted] was charged with one count of Obstructing an SEC Inquiry, two counts of Suborning Witnesses to Commit Perjury to the SEC, and one count of Making False Statements to the SEC. Charles Oehlert was charged with one count of Perjury Before the SEC, one count of Making False Statements before the SEC, and one count of Obstructing an SEC Investigation. [redacted] was charged with one count of Perjury Before the SEC, one count of False Statements, and one count of Obstructing an SEC Inquiry.

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I will keep you advised of developments in this matter.

[redacted]

b6
b7C

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 5/1/86

TO: DIRECTOR, FBI (56-5853)
(ATTN: SSA [redacted] PUBLIC CORRUPTION UNIT)

FROM: ADIC, NEW YORK (56C-603) (C-1) (P)

SUBJECT: [redacted]
dba FIRST JERSEY SECURITIES,
ELECTION LAWS
OO: NEW YORK

RE: NYairtel and LHM to Director dtd 4/1/86.

Enclosed for FBIHQ are the original and three (3) copies of an update LHM suitable for dissemination.

ADMINISTRATIVE

FBIHQ should note at this time there are no individuals cooperating in captioned matter, therefore NYO has been unable to substantiate violations of T 18, USC Section 1341 and 1343.

AUSA's [redacted] SDNY have advised their intention at this time is to [redacted]

Regarding information concerning conduit financing to the ANDREW STEIN for U.S. Congress Campaign, AUSA [redacted] advised he would [redacted]

3 - Bureau (Encl. 4)
(1-SSA [redacted] Public Corruption Unit)
1 - New York (56C-603)

[redacted]
(5)

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

b6
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b5
b6
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b5
b6
b7C

b6
b7C

67

U.S. Department of Justice

Federal Bureau of Investigation
26 Federal Plaza
New York, New York

In Reply, Please Refer to
File No.

[redacted] b6 b7C
dba First Jersey Securities
Election Laws

Information regarding conduit financing, orchestrated by [redacted] First Jersey Securities (FJS) was received during the course of an on-going investigation into the trading practices of FJS conducted by the Securities Exchange Commission (SEC) and the Office of the United States Attorney (USA), Southern District of New York (SDNY). b6 b7C

Allegations were made that [redacted] through FJS, pressured employees to contribute to the campaign fund of Jeffrey Bell, who was then running for nomination as the Republican candidate for the United States Senate seat for the State of New Jersey. It was further alleged those employees who contributed were subsequently reimbursed for their contributions by their branch managers. b6 b7C

Initial investigation targeted forty-one former FJS brokers who contributed to the Jeffrey Bell for Senate Campaign (JBSC). [redacted] have testified before the FGJ, SDNY [redacted] b3 b6 b7C

[redacted] were furnished by them pursuant to this subpoena. Additionally, [redacted] have been subpoenaed which reflect [redacted] b3 b6 b7C

[redacted] In testimony before the FGJ, [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

56-5853

[redacted] subpoenaed before the FGJ [redacted] b3

On May 1, 1986, perjury indictments were returned against two brokers who testified before the FGJ they had not been reimbursed for their contribution to the JBSC, where later investigation countered their testimony. Additionally, perjury indictments were returned against two branch managers based upon their previous testimony before the SEC, where the testimony of brokers in this matter indicated they were directed to buy/sell specific stocks on a regular basis by their branch manager.

On April 16, 1986 at 10:30 AM an anonymous telephone call was received by Assistant United States Attorney (AUSA) [redacted] b6
[redacted] SDNY regarding [redacted] b7C
[redacted] The caller b7D
identified himself as [redacted]

On April 22, 1986 contributor lists were obtained from the Federal Election Commission (FEC) regarding the Stein campaign. A review of these lists revealed fifteen FJS employees, from various FJS branches,who contributed to this campaign fund, along with their spouses,in the maximum amount of $1,000 each. b3
[redacted] will be subpoenaed before the FGJ, SDNY in early May, 1986.

As a result of media coverage regarding [redacted] and FJS, b6
a former FJS broker, through his attorney, has contacted AUSA b7C
[redacted] and advised he made contributions to the Andrew Stein campaign as well as to the campaign of Governor Kean, State of New Jersey at the direction of his branch manager.

AUSA [redacted] Chief, Securities and Commodity Frauds, SDNY and AUSA [redacted] SDNY have reiterated
their intention to [redacted] b5
b6
b7C

Additionally, AUSA [redacted] has advised he will consider
[redacted] b5
b6
b7C

(Rev. 6-6-85)
PLISHMENT REPORT
0/1/85)
thin 30 days from date of accomplishment)
DIRECTOR, FBI

FRO , MIAMI (WPBRA)
SUB

dba
FIRST JERSEY SECURITIES;
ELECTION LAWS
(OO: NEW YORK)

Bureau File Number
56C-219
Field Office File Number
1295
Squad or RA Number
Agent's Social Security No.

Date 5/16/86

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? XX No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Register		

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 3
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Lo xplain valuation in remarks)

Property Type Code*	Recoveries			Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ / District State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed _____

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea									
☐ Trial									
☐ Pretrial Diversion									

[redacted] b6 b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor	56-	5853						6X	
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

MAY 22 1986

Three (3) Federal Grand Jury Subpoenas were served in [redacted] requiring appearances of the individuals in New York City, New York, for Federal Grand Jury. b3

[redacted] b6 b7C

2 - Bureau (1 - 56C-219) (1 - 66-418)
2 - Field Office
* See codes on reverse side.
1 - New York (56C-603) (Info)
[redacted] (5)

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

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- 5B Local Officer
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Government Officials Or Employees:

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6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

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Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
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The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

☆ U.S.G.P.O.: 1986-491-509/45743

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

[redacted] DIRECTOR, FBI
[redacted] ADIC
[redacted] NEW YORK
[redacted]
DBA FIRST JERSEY
SECURITIES;
ELECTION LAWS
OO: NEW YORK

56-5853	Bureau File Number
56C-603	Field Office File Number
	Squad or RA Number
	Agent's Social Security No.

Date 5/2/86

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

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2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments
		4

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served _____
Criminal Summons _____
Local Crim. Summons _____
FBI Subj. Resisted _____ ; Armed _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

b6
b7C

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Plaintiff
Amount of Suit	$	
Settlement or Award	$	

Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ | District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed _____

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single co[redacted] Do not add concurrent [redacted] Sentence 10 yrs.-8 yrs [redacted] Jail.					

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22 MAY 9 1986

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 5/1/86, the FGJ, SDNY returned indictments against [redacted] (two counts perjury), CHARLES OEHLERT (one count perjury, one count false statements and one count obstructing an SEC investigation), [redacted] [redacted] (one count obstructing an SEC inquiry, two counts of suborning witnesses to commit perjury and one count false statements) and [redacted] (one count perjury, one count false statements and one count obstructing an SEC inquiry). Subjects to be arraigned 5/8/86.

b6
b7C

3 ② - Bureau
X2 - Field Office (1-NY56C-603); (1-NY66-8492); (1-SUPR. C-1) [redacted] (5)
* See codes on reverse side.

b6
b7C

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

AUG 1 2 1986

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

[redacted] I (WPBRA)
, dba
ECURITIES;
[redacted]

Bureau File Number
56C-219
Field Office File Number
1295
Squad or RA Number
[redacted]
Agent's Social Security No.
[redacted]

Date 5/27/86

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? XX No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served 4
Criminal Summons
Local Crim. Summons

FBI Subj. Resisted _____ ; Armed _____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add co					
☐ Pretrial Diversion				Enter lo ence. Do not gether. Senten yrs. In-Jail.					

[redacted]

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b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

TO JUN 2 1986

Four subpoenas issued by United States District Court, Southern District of New York, were served in [redacted] requiring appearances of recipients to appear before [redacted] Federal Grand Jury.

b3

[redacted]

b6
b7C

DEC 2 1986

2 - Bureau
2 - Field Office (1 - 56C-219) (1 - 66-418)
* See codes on reverse side.
1 - New York (56C-603) (Info)
[redacted] (5)

[redacted]

56-58 3-

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*
- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:
- A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.
- C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:
It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:
Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:
1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:
The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:
- Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
- The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:
C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

☆U.S.G.P.O.: 1986-491-509/45743

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)

[redacted]

Securities;
Election Laws
OO:NEW YORK

Bureau File Number
56C-249
Field Office File Number
3484
Squad or RA Number
Agent's Social Security No.

Date 5/29/86

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? XX No ☐ Yes - If Yes, rate each used as follows:

1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. [redacted]	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. [redacted]	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

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b7E

[redacted]

[redacted] al Process ts)	Complaints	Informations	Indictments

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

[redacted] Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 4
Criminal Summons _____
Local Crim. Summons _____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code*—

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

[redacted]

b6
b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 4 Subject Description Code*—

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

DE-46 56-58 X3

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

SEE REMARKS PAGE TWO.

7 JUN 3 1986

[redacted]

2-Bureau
2-New York (56C-603)
3-Newark (1-Substantive File) (1-Statistics in Process Folder)
[redacted] (1-SA [redacted] Accomplishment Record)
(7)

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b7C

[redacted]

108 JAN 21 1987

* See codes on reverse side.

NOV 24 1986

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*
- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

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- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.
- C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:
It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:
Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:
1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:
The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:
-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:
C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

NK 56C-249

REMARKS:

On May 27, 1986, [redacted] and [redacted] were all served with Federal Grand Jury subpoenas in [redacted] On May 28, 1986, [redacted] was served with Federal Grand Jury subpoena in [redacted] No other information is available on these individuals.

b3
b6
b7C

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
Effective 10/1/85)
Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

FROM: [redacted] RICHMOND (P)

SUBJECT: [redacted] dba
First Jersey Securities
ELECTION LAWS
(OO: NY)

Bureau File Number	
Field Office File Number	56C-131
Squad or RA Number	5196
Agent's Social Security No.	

Date 5/27/86

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3 Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 1
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

b6
b7C

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ / _____ District State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

b6
b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)
(See page 2)

DE-139 56-5853-64

JUN 2 1986

2-Bureau
2-Richmond (1 - 56C-131) (1 - OSM [redacted]) (1-66-2832)
[redacted]
(4)

b6
b7C

APR 27 1987

RH 56C-131

REMARKS CONTINUED:

Subpoena served 5/22/86 on [redacted], [redacted], to appear before Federal Grand Jury, Southern District of New York, to testify [redacted]

b3
b6
b7C

FORMS.TEXT HAS 1 DOCUMENT

INBOX.15 (#6741)

TEXT: VZCZCNYO104

RR HQ

DE NY #0104 2031502

ZNY UUUUU

R 221234Z JUL 86

FM FBI NEW YORK (56C-603) (P) (C-1)

TO DIRECTOR FBI (56-5853) ROUTINE

ATTN: [redacted] PUBLIC CORRUPTION UNIT

ET

UNCLAS

[redacted] DBA FIRST JERSEY SECURITIES; ELECTION LAWS, OO: NEW YORK

b6
b7C

THE FOLLOWING INFORMATION IS BEING FURNISHED TO FBIHQ TO UPDATE HEADQUARTER'S FILE IN CAPTIONED MATTER:

ON JULY 1, 1986, THE FEDERAL GRAND JURY (FGJ), SOUTHERN DISTRICT OF NEW YORK (SDNY) RETURNED AN INDICTMENT AGAINST [redacted] ON ONE COUNT OF PERJURY BEFORE THAT BODY REGARDING THE SOURCE OF HIS ONE THOUSAND DOLLAR CONTRIBUTION TO THE JEOFFREY BELL

b6
b7C

FOR SENATE CAMPAIGN IN MAY, 1982.

THE INDICTMENT CHARGED FIVE SPECIFICATIONS, EACH REGARDING TESTIMONY BEFORE THE FGJ ON APRIL 29, 1986. EACH SPECIFICATION CARRIES THE WEIGHT OF ONE COUNT.

TRIAL DATE FOR [redacted] HAS NOT BEEN SET INASMUCH AS THE TRIAL IS TO BE BEFORE UNITED STATES DISTRICT COURT JUDGE KNAPP WHO IS ALSO SCHEDULED TO HEAR THE NEW YORK CITY CORRUPTION CASE EARLY THIS FALL. b6 b7C

ON JULY 17, 1986, IN A TRIAL BEFORE UNITED STATES DISTRICT COURT JUDGE SWEET, [redacted] WAS FOUND GUILTY OF PERJURY BEFORE THE FGJ, SDNY REGARDING HIS TESTIMONY THAT HE HAD NOT BEEN LOANED THE FUNDS FOR HIS CONTRIBUTION TO THE BELL CAMPAIGN BY [redacted] b6 b7C

INDICTMENTS AGAINST [redacted] IN THE CONDUIT FINANCING SCHEME ARE ANTICIPATED THE WEEK OF JULY 28, 1986. b6 b7C

NEW YORK OFFICE WILL ADVISE FBIHQ WHEN ANTICIPATED INDICTMENTS ARE RETURNED.

BT

#0104

NNNN

--/

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 7/22/86

TO: DIRECTOR, FBI (56-5853)
(ATTN: SSA [redacted] PUBLIC CORRUPTION UNIT)

FR[redacted] ADIC, NEW YORK (56C-603) (P) (C-1)

SUBJECT: [redacted]
dba FIRST JERSEY SECURITIES;
ELECTION LAWS

b6
b7C

Enclosed for FBIHQ are four copies of an update LHM suitable for dissemination.

Enclosed for each receiving office are two copies of same updated LHM for information. Update LHM being furnished inasmuch as anticipated indictments of [redacted] may generate media interest within their communities.

56-5853-8

3 - Bureau (56-5853) (Encl. 4)
2 - Albany (56C-80) (Encl. 2)
2 - Alexandria (56C-41) (Encl. 2)
2 - Atlanta (56C-450 Sq. 5) (Encl. 2)
2 - Boston (56C-157) (Encl. 2)
2 - Buffalo (56C-54) (Encl. 2)
2 - Chicago (56C-662) (Encl. 2)
2 - New York (56C-603)
2 - Dallas (56C-256) (Encl. 2)
2 - Detroit (56C-241 (C-3) (Encl. 2)
2 - Houston (56C-297) (Encl. 2)
2 - Kansas City (56C-638) (Encl. 2)
2 - Miami (56C-219) (Encl. 2)
2 - Newark (56C-249 (C-5) (Encl. 2)
2 - Norfolk (56C-47) (Encl. 2)
2 - Philadelphia (56C-394 Sq. 6) (Encl. 2)
2 - San Diego (56C-78) (Encl. 2)
2 - WFO (56C-581 Sq. 7) (Encl. 2)

[redacted]
(35)

b6
b7C

Approved: _____ Transmitted _____ Per [redacted]
(Number) (Time)

DEC 9 1986 344

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), FED. R. CRIM. P.

U.S. Department of Justice

Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10278

In Reply, Please Refer to
File No.

[redacted] b6 b7C
dba First Jersey Securities
Election Laws

Information regarding conduit financing, orchestrated by [redacted] First Jersey Securities (FJS) was received during the course of an on-going investigation into the trading practices of FJS conducted by the Securities Exchange Commission (SEC) and the Office of the United States Attorney (USA), Southern District of New York (SDNY). b6 b7C

Allegations were made that [redacted] through FJS, pressured employees to contribute to the campaign fund of Jeffrey Bell, who was then running for nomination as the Republican candidate for the United States Senate seat for the State of New Jersey. It was further alleged those employees who contributed were subsequently reimbursed for their contributions by their branch managers. b6 b7C

Initial investigation targeted forty-one former FJS brokers who contributed to the Jeffrey Bell for Senate Campaign (JBSC). [redacted] have testified before the Federal Grand Jury (FGJ), SDNY [redacted] b3 b6 b7C

On May 1, 1986, the FGJ, SDNY returned indictments against [redacted] Charles Oehlert, and [redacted] b6 b7C

The indictment of [redacted] on two counts of perjury related to his testimony before the FGJ wherein he stated he had not been loaned the funds for his contribution to the JBSC by his [redacted] b6 b7C

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), FED. R. CRIM. P.

56-5853-8

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

The indictments of [redacted] Oehlert, and [redacted] arose out of an investigation into allegations of perjury and obstruction of justice by employees of FJS in connection with the SEC investigation into trading practices of that firm. b6 b7C

On July 1, 1986 the FGJ, SDNY returned an indictment against [redacted] on one count of perjury (5 Specifications, each carrying the weight of One count) relating to his testimony before the FGJ on April 29, 1986 wherein he stated he had not been given, loaned or furnished the funds for his contribution to the JBSC by his [redacted] b6 b7C

On July 17, 1986, [redacted] was found guilty of perjury regarding his testimony before the FGJ that he was loaned the funds by [redacted] Sentencing of [redacted] is scheduled for September 17, 1986. b6 b7C

Trials of [redacted] Oehlert and [redacted] are scheduled for August and September, 1986. The trial of [redacted] has not been scheduled inasmuch as it is to be heard before U.S. District Court Judge Knapp, SDNY, who is also to hear the New York City corruption case early this fall. b6 b7C

Assistant United States Attorney (AUSA) [redacted] has advised indictments of [redacted] involved in the conduit financing scheme are anticipated the week of July 28, 1986. Those [redacted] are: b6 b7C

Charles Oehlert Wayne/Lancaster Pa. offices

[redacted]

[redacted]

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

[redacted] TOR, FBI

C NEW YORK

[redacted]

FIRST JERSEY
URITIES;
ELECTION LAWS;
OO: NEW YORK

56-5853	Bureau File Number
56C-603	Field Office File Number
C-1	Squad or RA Number
[redacted]	Agent's Social Security No.

Date 7/11/86

b6
b7C
b7E

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially [redacted]
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments
		1

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served _____
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$ [redacted]
	$	$		$

b6
b7C

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ | _____ District State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code*—

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

DE-94 56-5853-9

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 4 Subject Description Code*—

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive					
☐ Pretrial Diversion				Enter longest sing					
				Do not add concu					
				Sentence 10 yrs.					

JUL 23 1986

[redacted]

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each s

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 7/1/86 the FGJ, SDNY returned a True Bill regarding the indictment of [redacted] for one count of perjury in violation of Title 18, USC, Section 1623 regarding his testimony before that body in captioned matter.

b6
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344

3 - Bureau
2 - Field Office (1-NY56C-603); (1-NY66-8492); (1-Supr. C-1) [redacted] (5)

* See codes on reverse side.

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

FORMS.TEXT HAS 1 DOCUMENT

INBOX.1 (#800)

TEXT: VZCZCNY0103

RR HQ AL AX AT BS BU CG DL DE [redacted] NF PH SD WFO

DE NY #0103 2721156

ZNY UUUUU

R 271814Z SEP 86

FM FBI NEW YORK (56C-603) (P) (C-1)

TO DIRECTOR FBI (56-5853) ROUTINE

ATTN: [redacted] PUBLIC CORRUPTION UNIT

FBI ALBANY (56C-80) () ROUTINE

FBI ALEXANDRIA (56C-41) () ROUTINE

FBI ATLANTA (56C-450) () ROUTINE

FBI BOSTON (56C-157) () ROUTINE

FBI BUFFALO (56C-54) () ROUTINE

FBI CHICAGO (56C-662) () ROUTINE

FBI DALLAS (56C-256) () ROUTINE

FBI DETROIT (56C-241) () ROUTINE

FBI HOUSTON (56C-297) () ROUTINE

FBI KANSAS CITY (56C-638) () ROUTINE

FBI MIAMI (56C-219) () ROUTINE

FBI NEWARK (56C-249) () ROUTINE

FBI NORFOLK (56C-47) () ROUTINE

Exec. Aff.-Adm.
Exec. Aff.-Inv.
Exec. Aff.-Int.
Asst. Dir.
Adm. Ser.
Crim. Inv.
Ident.
Inspection
Intell.
Laboratory
Legal Coun.
Off. of Comp.
Public Aff.
Rec. Mgmt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

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b7C

V-142

DE-275 56-5853-10

OCT 81

344

FBI PHILADELPHIA (56C-394) () ROUTINE

FBI SAN DIEGO (56C-78) () ROUTINE

FBI WASHINGTON FIELD (56C-581) () ROUTINE

BT

UNCLAS

"CHANGED I.O.": [redacted]

CHARLES OEHLERT; [redacted] b6 b7C

[redacted] DBA FIRST JERSEY SECURITIES;

ELECTION LAWS; OO: NEW YORK

TITLE MARKED "CHANGED I.O." TO REFLECT ADDITION OF SUBJECTS INDICTED AND THOSE AGAINST WHOM INDICTMENTS ARE ANTICIPATED. TITLE FORMERLY CARRIED AS [redacted] DBA FIRST JERSEY SECURITIES; b6 b7C ELECTION LAWS; OO: NEW YORK.

FOR THE INFORMATION OF ALL OFFICES HAVING CONDUCTED INVESTIGATION IN CAPTIONED MATTER, ON SEPTEMBER 25, 1986, [redacted] b6 b7C

[redacted] WAS FOUND GUILTY OF ONE COUNT PERJURY, ONE COUNT FALSE STATEMENTS AND ONE COUNT OBSTRUCTING AN SEC INQUIRY IN USDC, SDNY. SENTENCING IS SCHEDULED FOR NOVEMBER 14, 1986. b6 b7C

TRIAL OF [redacted] FIRST JERSEY SECURITIES (FJS), FALLS CHURCH, VIRGINIA, IS SCHEDULED TO BEGIN SEPTEMBER 29, 1986 BEFORE USDC JUDGE MILTON POLLACK, SDNY. b6 b7C

TRIAL OF CHARLES OEHLERT, BRANCH MANAGER, FJS, LANCASTER AND WAYNE, PENNSYLVANI, IS SCHEDULED TO BEING OCTOBER 6, 1986, BEFORE USDC JUDGE MARY LOWE, SDNY.

AUSA [redacted] SDNY, ANTICIPATES REMAINING SUBJECTS ALONG WITH OEHLERT AND [redacted] WILL BE INDICTED ON THE ELECTION LAW MATTER FOLLOWING THE COMPLETION OF THE PERJURY TRIALS. b6 b7C

RECEIVING OFFICES WILL BE ADVISED WHEN INDICTMENTS ARE HANDED DOWN.

BT

#0103

NNNN

-->

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

[redacted] RECTOR, FBI

[redacted] C, WASHINGTON FIELD

[redacted] DBA
FIRST JERSEY SECURITIES;
ELECTION LAW VIOLATION
OO: NEW YORK

Bureau File Number	56C-581
Field Office File Number	C-7
Squad or RA Number	
Agent's Social Security No.	

Date 11/17/86

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served 1
Criminal Summons
Local Crim. Summons
FBI Subj. Resisted ; Armed

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		[redacted]
	$	$		
	$	$		
	$	$		
	$	$		$

b6
b7C

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists ; All Other Hostage Situations
Missing or Kidnaped Children Located

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District | District State | Conviction or Pretrial Div. Date | Sentence Date | No. of Subjects Acquitted Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code* [redacted]

	Conviction Title	Section	Counts	Combined Sentence Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony							
☐ Misdemeanor							
☐ Plea				Total Fines $			
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.			
☐ Pretrial Diversion							

b6
b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 4 Subject Description Code* 56-5855-10X

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentenc Enter longest single con Do not add concurrent se Sentence 10 yrs.-8 yrs.					
☐ Pretrial Diversion									

[redacted]

b6
b7C

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

(2) Bureau
2 - Field Office
* See codes on reverse side.

30 AUG 1 1987

APR 21 1981

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

[redacted] FBI

EXANDRIA

[redacted]

ET AL;
dba FIRST JERSEY
SECURITIES
ELECTION LAWS
(OO: NY)

56-5853	Bureau File Number
56C-41	Field Office File Number
2	Squad or RA Number
[redacted]	Agent's Social Security No.

Date 12/4/86

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____; Armed _____

Subpoenas Served 2
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Enter in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

6

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 3 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. [redacted]

b6
b7C

Subject 2 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 4 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

56-5853-10X1

V-165
DE-102

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

DEC 16 1986

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

Subpoenas for [redacted] served upon [redacted] on 10/1/86.

b3
b6
b7C

R 45

2 - Bureau
2 - Field Office
* See codes on reverse side.

1-New York (56C-603)
(1-56C-41) (1-Statistics in Process) [redacted] (5)

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C = Chinese; I = Indian/American; J = Japanese; N = Negro; O = All other; U = Unknown; W = White

FD-515 (Rev. 2-24-86)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

[redacted]

DIRECTOR, FBI

SAC ADIC, NEW YORK

[redacted]

ET AL.;
DBA FIRST JERSEY
SECURITIES;
ELECTION LAWS
OO: NEW YORK

56-5853	Bureau File Number
56C-603	Field Office File Number
C-1	Squad or RA Number
[redacted]	Agent's Social Security No.

Date 10/9/86

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? XX No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

[redacted]

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served _____
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

[redacted]

b6
b7C

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District SD (District) NY (State) 7/17/86 (Conviction or Pretrial Div. Date) 10/3/86 (Sentence Date) No. of Subjects _____ Acquitted _____ Dismissed _____

Subject 1 [redacted]

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☒ Felony									
☐ Misdemeanor	18	1621	1					3	
☐ Plea				Total Fines $ 2,000.00					
☒ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code*—

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

b7E

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code*—

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent s Sentence 10 yrs.-8 yrs.					

[redacted]

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

[redacted] [redacted] was sentenced by United States District ROBERT SWEET, SDNY, to three years probation, fined $2,000.00, and assessed $50.00 in fees as a result of his conviction on one count, Perjury, T 18 USC Section 1621, stemming from his testimony before the FGJ, SDNY, regarding the source of funds for his $1,000.00 contribution to the JEFFREY BELL for United States Senate Campaign Fund.

b6
b7C

2 - Bureau
3 - Field Office (1 - NY 56C-603) (1 - NY 66-8492) (1 - C-1 Supv.) [redacted] (5)
* See codes on reverse side.

22 MAR 28 1988

FBI/DOJ

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects (Include Family Name Or Group):

1A Boss, Underboss or Consigliere
1B Capodecina or Soldier
1C Possible LCN Member or Associate
1D OC Subject Other Than LCN
1E Not a Member or Associate of LCN Family or OC Organization

Known Criminals (Other Than OC Members):

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

Foreign Nationals:

3A Legal Alien
3B Illegal Alien
3C Foreign Official Without Diplomatic Immunity
3D U.N. Employee Without Diplomatic Immunity
3E Foreign Students
3F All Others

Terrorists:

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

Union Members:

5A International or National Officer
5B Local Officer
5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:

7A Bank Officer
7B Bank Employee

All Others:

8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.
C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

[redacted]

XXX ADIC, NEW YORK

[redacted]

ET AL;
DBA FIRST JERSEY
SECURITIES;
ELECTION LAWS;
OO: NEW YORK

56-5853	Bureau File Number
56C-603	Field Office File Number
C-1	Squad or RA Number
[redacted]	Agent's Social Security No.

Date 10/10/86

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? XX No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	1. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	2. Lab Div Exams	19. Survell. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	3. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

[redacted]

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served _____
Criminal Summons _____
Local Crim. Summons _____

FBI Subj. Resisted _____ ; Armed _____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

[redacted]

b6
b7C

E. Civil Matters

	Government Defendant	Gov[redacted]f
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District SD (District) NY (State) Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects 1 Acquitted _____ Dismissed _____

b7E

Subject 1 [redacted]

	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony / ☐ Misdemeanor									
☐ Plea / ☐ Trial / ☐ Pretrial Diversion									

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 3 Subject Description Code*—

	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony / ☐ Misdemeanor									
☐ Plea / ☐ Trial / ☐ Pretrial Diversion									

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

56-5853-1[illegible]

Subject 2 Subject Description Code* —

	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony / ☐ Misdemeanor									
☐ Plea / ☐ Trial / ☐ Pretrial Diversion									

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 4 Subject Description Code*—

	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony / ☐ Misdemeanor									
☐ Plea / ☐ Trial / ☐ Pretrial Diversion									

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp.

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 10/9/86, following a two week trial before USDC Judge MILTO POLLACK, [redacted] was acquitted of all charges. [redacted] was indicted by FGJ, SDNY, on 5/1/86 on one count Obstruction of Justice, two counts Subornation of Perjury and one count False Statements in violation of T18, USC Sections 1505, 1622 and 1001.

[redacted]

b6
b7C

2 - Bureau
3 - Field Office (1 - NY 56C-603) (1 - NY 66-8492) (1 - C-1 Copy) [redacted] (5)
* See codes on reverse side.

22 MAR 28 1988

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

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- Enter Description Code Only When Reporting a Conviction -

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- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
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Terrorists:
- 4A Known Member of a Terrorist Organization
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Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

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- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

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An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=J[illegible]ese; N=Negro; O=All other; U=Unknown; W=W[illegible]

FORMS.TEXT HAS 1 DOCUMENT

INBOX.15 (#10141)

TEXT: VZCZCNYO172

PP HQ PH

DE NY #0172 0140332

ZNY UUUUU

R 140138Z JAN 87

FM FBI NEW YORK (56C-603) (P) (C-1)

TO DIRECTOR FBI (56-5853) ROUTINE

ATTN: SSA [redacted]

ATTN: PUBLIC CORRUPTION UNIT

FBI PHILADELPHIA (56C-394) (SQ. 6) PRIORITY

BT

UNCLAS

[redacted] ET AL; ABA FIRST JERSEY SECURITIES, ELECTION LAWS; [redacted] b6 b7C

OO:NY.

[redacted] b5

56-5853-

ON JANUARY 15, 1987, [redacted] b5 b6 b7C

b5

TEXT OF PRESS RELEASE TO BE FORWARDED SEPARATELY TO PHILADELPHIA.

b5
b6
b7C

BT

#0172

NNNN

-->

FD-515 (Rev. 2-24-86)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

[redacted] CTOR, FBI

[redacted] MIAMI (WPBRA)

[redacted]

ET AL
ELECTION LAWS
(OO: NEW YORK)

56-5853	Bureau File Number
56C-219	Field Office File Number
1295	Squad or RA Number
[redacted]	Agent's Social Security No.

Date 3/30/87

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 4
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 2 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 3 Subject Description Code*—

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

[redacted]

b6
b7C

Subject 4 Subject Description Code*—

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

56-5853-13

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 3/26/87, Federal Grand Jury Subpoenas issued in the Southern ~~District of~~ New York were served on [redacted] requiring their appearance in New York on [redacted]

b3
b6
b7C

1 - New York (56C-603) (C-1)

[redacted]

b6
b7C

(2) Bureau
2 - Field Office
* See codes on reverse side. (1 - 66-418) (1 - 56C-219)

[redacted] (5)

[redacted]

INDEXED

JUL 9 1987

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

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Organized Crime Subjects (Include Family Name Or Group):
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN
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Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
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- 7A Bank Officer
- 7B Bank Employee

All Others:
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Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if: -

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Race Codes:

C = Chinese; I = Indian/American; J = Japanese; N = Negro; O = All other; U = Unknown; W = White

☆U.S. GOVERNMENT PRINTING OFFICE: 1986-491-510:

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 2/24/87

TO: DIRECTOR, FBI ~~(58-5853)~~
(ATTN: PUBLIC CORRUPTION, SSA [redacted]

[redacted]

ADIC, NEW YORK (56C-603) (C-1)

T: "CHANGED IO"

[redacted]

Approx. Date [redacted]

b6
b7C

CHARLES OEHLERT;

[redacted]

dba FIRST JERSEY SECURITIES;
ELECTION LAWS
(OO:NY)

[redacted]

Title marked CHANGED IO to reflect correct spellings of names of subjects [redacted] inadvertently carried previously as [redacted]

b6
b7C

DE-101 56-5853-14

2 - FBIHQ (Encls. 4)
2 - Albany (Encls. 2) (56C-80) (INFO)
3 - Alexandria (Encls. 3) (56C-41)
3 - Atlanta (Encls. 3) (56C-450) (SQ.5)
2 - Boston (Encls. 2) (56C-157) (INFO)
3 - Buffalo (Encls. 3) (56C-54)
2 - Chicago (Encls. 2) (56C-662) (INFO)
2 - Cincinnati (Encls. 2)
2 - Dallas (Encls. 2) (56C-256)
2 - Detroit (Encls. 2) (56C-241) (C-3)
2 - Houston (Encls. 2) (56C-297) (INFO)
2 - Jacksonville (Encls. 2)
2 - Kansas City (Encls. 2) (56C-638) (INFO)
2 - Miami (Encls. 2) (56C-219)
4 - Newark (Encls. 4) (56C-249) (C-5)
1 - New York
2 - New Orleans (Encls. 2)
2 - Norfolk (Encls. 2) (56C-47) (INFO)
2 - Philadelphia (Encls. 2) (56C-394) (SQ.6) (INFO)
2 - Richmond (Encls. 2)
2 - San Diego (Encls. 2) (56C-78)
2 - WFO (Encls. 2) (56C-581) (SQ. 7) (INFO)
[redacted] (49)

b6
b7C

Approved: _____ Transmitted _____ (Number) _____ (Time) P [redacted]

2cc-Det, Phoenix
1cc-[illegible]

[redacted]

14 MAY 1988

ReNYtel to Director, dated 9/27/86.

Enclosed for FBIHQ are the original and three copies of an updated LHM suitable for dissemination.

Enclosed for each receiving office are copies of same updated LHM.

Each receiving office is requested to interview the below listed [redacted] Areas to be covered include the following: b3

[redacted] b3 b6 b7C

Each office should note [redacted] are to be interviewed at their residences [redacted] b3 b6 b7C

New York Office (NYO) intends to furnish Federal Grand Jury (FGJ) subpoeanas at a later date for each individual interviewed. These subpoenas should be served on the individuals at their office.

[redacted]

LEADS

ALEXANDRIA DIVISION

Alexandria should note [redacted]

ATLANTA DIVISION

[redacted]

b3
b6
b7C

BUFFALO DIVISION

[redacted]

CINCINNATI DIVISION

[redacted]

LEADS

DALLAS DIVISION

[redacted]

JACKSONVILLE DIVISION

[redacted]

MIAMI DIVISION

[redacted]

NEWARK DIVISION

[redacted]

b3
b6
b7C

NEW ORLEANS DIVISION

[redacted]

RICHMOND DIVISION

[redacted] Should note [redacted]

[redacted]

[redacted]

SAN DIEGO DIVISION

[redacted]

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

New York, New York

February 23, 1987

[redacted]
CHARLES OEHLERT;
[redacted]
dba FIRST JERSEY SECURITIES;
ELECTION LAWS

b6
b7C

Information regarding conduit financing, orchestrated by [redacted] First Jersey Securities (FJS) was received during the course of an on-going investigation into the trading practices of FJS conducted by the Securities Exchange Commission (SEC) and the Office of the United States Attorney (USA), Southern District of New York (SDNY).

b6
b7C

Allegations were made that [redacted] through FJS, pressured employees to contribute to the campaign fund of Jeffrey Bell, who was then running for nomination as the Republican candidate for the United States Senate seat for the State of New Jersey. It was further alleged those employees who contributed were subsequently reimbursed for this contributions by their branch managers.

b6
b7C

Initial investigation targeted forty-one former FJS brokers who contributed to the Jeffrey Bell for Senate Campaign (JBSC). [redacted] have testified before the Federal Grand Jury (FGJ), SDNY, [redacted]

b3
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56-5853-14

ENCLOSURE

b6
b7C

[redacted]
ET AL

[redacted] were subpoenaed from FJS and were furnished by them pursuant to this subpoena. [redacted] have been subpoenaed [redacted] b3

On May 1, 1986, perjury indictments were returned against [redacted] Charles Oehlert and [redacted] charging Perjury and Obstruction of Justice stemming from their testimony before the FGJ and the SEC. b6 b7C

On July 17, 1986, [redacted] was convicted of Perjury in the SDNY. [redacted] was convicted on September 25, 1986. Motions to vacate the judgment were upheld and United States District Court (USDC) Judge Kevin Duffy, SDNY, ordered a retrial. The SDNY has since filed a nolle prosequi on the indictment of [redacted] and accordingly no retrial will be held. b6 b7C

On October 9, 1986, [redacted] was acquitted of all charges against him. b6 b7C

On July 1, 1987, [redacted] was indicted by the FGJ, SDNY, on charges of Perjury stemming from his testimony before that body, regarding the source of funds for his contribution to the JBSC. Trial is unscheduled at this time. b6 b7C

[redacted] b5

AUSA [redacted] SDNY, has advised it is his intent to [redacted] b5 b6 b7C

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

[redacted]

ET. AL.;
dba First Jersey-
Securities; SSP
Election Laws;
(OO:NY) 56C-603
CLASS
SRC'D
SER [redacted]
REC

56-5853	Bureau File Number
NO 56C-483	Field Office File Number
2248	Squad or RA Number
[redacted]	Agent's Social Security No.

Date 3/25/87

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance — Rating	8. Eng. Sect. Tape Exams — Rating	15. Photographic Coverage — Rating	22. Telephone Toll Recs — Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst.	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	[redacted]	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers		

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)	Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 1
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Preven[redacted] (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitut[redacted]	Potential Economic Loss Prevented
	$	$	$
	$	$	$
	$	$	$
	$	$	$
	$	$	$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____ ; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$

Enter AFA Payment Here

1

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed _____

Subject 1 Subject Description Code* —

	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 2 Subject Description Code* —

	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code* —

	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 4 Subject Description Code* —

	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 3/25/87, a Federal Grand Jury Subpoena was received and served by SA [redacted] on [redacted] at his place of employment [redacted] requiring [redacted] testimony on [redacted] in the Southern District of New York.

b3
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b7C

2 Bureau
2 Field Office (1-NO 56C-483) (1-Stats in Process) (1-Folder of SA [redacted])
5 [redacted] de. 06

27 AUG 09 1988

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

[redacted] NDRIA
ET AL
ELECTION LAWS
(OO: NY)

Bureau File Number:
56C-41
Field Office File Number
2
Squad or RA Number
Agent's Social Security No.

Date 5/29/87

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served 3
Criminal Summons
Local Crim. Summons

FBI Subj. Resisted ; Armed

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries		PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

b6
b7C

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists ; All Other Hostage Situations
Missing or Kidnaped Children Located

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

b6
b7C

F. Final Judicial Process: Judicial District
District State Conviction or Pretrial Div. Date Sentence Date
No. of Subjects Acquitted

Subject 1 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion
Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 3 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion
Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 2 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion
Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 4 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion
Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

Subpoenas served on [redacted]

b3
b6
b7C

6 - Bureau 1-New York (56C-603)
2 - Field Office (1-56C-41)(1-Statistics in Process) [redacted] (5)
* See codes on reverse side.

240

18 NOV 4 1988

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

☆ U.S.G.P.O.: 1986-491-509/45743

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

[redacted] CTOR, FBI

[redacted] RICHMOND (RUC)

[redacted]

ET AL.
ELECTION LAWS
(OO: NY)

[redacted]5853	Bureau File Number
56C-131	Field Office File Number
4	Squad or RA Number
[redacted]	Agent's Social Security No.

Date 4/14/87

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows

1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng Sect Tape Exams Rating	15 Photographic Coverage Rating	22 Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23 UCO Group I
3 Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24 UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25 UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil Sqd (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27 Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech Agt or Tech Equip	[redacted]

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 2
Criminal Summons _____
Local Crim. Summons _____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____ ; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence					
☐ Pretrial Diversion				Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs susp = 2 yrs In-Jail					

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence.					
☐ Pretrial Diversion				Do not add concurrent sentences together. Sentence 10 yrs -8 yrs susp = 2 yrs In-Jail					

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together					
☐ Pretrial Diversion				Enter longest single concurrent sente[redacted] Do not add concurrent sentences together Sentence 10 yrs.-8 yrs susp = 2 yrs In-Jail					

b6
b7C

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence					
☐ Pretrial Diversion				Do not add concurrent sentences together Sentence 10 yrs -8 yrs susp = 2 yrs In-Jail					

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

Subpoena served on [redacted] at place of employment, [redacted], on 2/24/87.

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b7C

APR 20 1987

2-Bureau
1-New York (56C-603) (Info)
3-Richmond (56C-131) (1-OSM [redacted] (1-66-2832)
[redacted]
(6)

[redacted]

NOV 30 1988 329

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

FR [redacted], ADIC, NEW YORK
SU [redacted]
ET AL;
DBA FIRST JERSEY
SECURITIES;
ELECTION LAWS
(OO:NY)

56-5853	Bureau File Number
56C-603	Field Office File Number
C-1	Squad or RA Number
[redacted]	Agent's Social Security No.

Date 1/15/87

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen. Registers	21. Tech. Agt. or Tech Equip	

b6
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b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments
	1	

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served _____
Criminal Summons _____
Local Crim. Summons _____
FBI Subj. Resisted _____ ; Armed _____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

b6
b7C

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted [redacted]

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

DE-133 56- 5055-18

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race, sex, and POB and SSAN if available.)

JAN 30 1987

On 1/15/87, CHARLES OEHLERT, DOB 10/19/19, White, Male, pleaded guilty to a one count information before USDC, Judge WHITMAN KNAPP, SDNY, charging violation Title 2, USC SECTION 437g(d)(1)(A) and 441a(1)(A); Title 18, USC SECTION 2. OEHLERT was released on his own recognizance. Sentencing is scheduled for 4/15/87 before Judge KNAPP.

[redacted]

b6
b7C

13 FEB 16 1989

2 - Bureau
3 - Field Office (1 - NY 56C-603) (1 - NY 66-8492) (1 - Supv. C-1) [redacted] (5)
* See codes on reverse side.

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JUN 15 1987

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*
- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:
- A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.
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Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:
It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

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An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:
The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:
- Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
- The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:
C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

FD-515 (Rev. 2-24-86)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(30 days from date of accomplishment)

[redacted]
DIRECTOR, FBI

SAC PHILADELPHIA

[redacted]

ET AL;
dba First Jersey
Securities
ELECTION LAWS
OO:NEW YORK

Bureau File Number
56C-394
Field Office File Number
3424
Squad or RA Number
[redacted]
Agent's Social Security No.
[redacted]

Date 4/9/87

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip [redacted]	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)	Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served 5
Criminal Summons
Local Crim. Summons

FBI Subj. Resisted ____ ; Armed ____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists ____; All Other Hostage Situations ____

Missing or Kidnaped Children Located ____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) [redacted] valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code [redacted]	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

E. Civil Matters

	Government Defendant	[redacted] Government Plaintiff
Amount of Suit	$	
Settlement or Award	$	Enter AFA Payment Here

b6
b7C

F. Final Judicial Process: Judicial District

District	State	Conviction or Pretrial Div. Date	Sentence Date	Acquitted	Dismissed

Subject 1 Subject Description Code* —

☐ Felony ☐ Misdemeanor

Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 3 Subject Description Code* —

☐ Felony ☐ Misdemeanor

Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 2 Subject Description Code* —

☐ Felony ☐ Misdemeanor

Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 4 Subject Description Code* —

☐ Felony ☐ Misdemeanor

Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On March 8, 1987, the following [redacted] were served with subpoenas which required their appearance at a Federal Grand Jury in the South District of New York on [redacted]

b3
b6
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1 - SA [redacted] Accomp. Folder
1 - SSRA [redacted]
2 - Bureau
2 - Field Office (1 - 56C-394)(1 - OSM [redacted]
* See codes on reverse side.
[redacted]
(6)

[redacted]

DEC 0 2 1988

JUL 9 1987

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
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5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
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7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

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22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
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26	Theft from, or Fraud Against, Government Scheme Aborted
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*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects (Include Family Name Or Group):
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN
- 1E Not a Member or Associate of LCN Family or OC Organization

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
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- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

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- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

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B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

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It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

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Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

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Rule 20 Situations:

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-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/A[illegible]n; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

☆U.S. GOVERNMENT PRINTING OFFICE: 1986-491-5[illegible]2023

FD-515 (Rev. 2-24-86)
COMPLISHMENT REPORT
(tive 10/1/85)
(mit within 30 days from date of accomplishment)

DIRECTOR, FBI

OM: ~~SAC~~ ADIC, NEW YORK
BJECT: [redacted]
DBA FIRST JERSEY SECURITIES;
ELECTION LAWS;
(OO:NY)

56-5853
Bureau File Number
56C-603
Field Office File Number
C-1
Squad or RA Number
Agent's Social Security No.

Date 8/7/87

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? [XX] No [ ] Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Tape	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypn Assis	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Assis	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served _____
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District SD (District) NY (State) 6/11/87 (Conviction or Pretrial Div. Date) _____ (Sentence Date) No. of Subjects _____ Acquitted _____ Dismissed _____

Subject 1 [redacted]

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
[ ] Felony									
[ ] Misdemeanor									
[ ] Plea				Total Fines $					
[ ] Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
[XX] Pretrial Diversion	18	1623	1						

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
[ ] Felony									
[ ] Misdemeanor									
[ ] Plea				Total Fines $					
[ ] Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
[ ] Pretrial Diversion									

Subject 3 Subject Description Code*—

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
[ ] Felony									
[ ] Misdemeanor									
[ ] Plea				Total Fines $					
[ ] Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
[ ] Pretrial Diversion									

Subject 4 Subject Description Code*—

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
[ ] Felony									
[ ] Misdemeanor									
[ ] Plea				Total Fines $					
[ ] Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
[ ] Pretrial Diversion									

b7E

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 6/11/87, [redacted] signed a Deferred Prosecution Agreement in 198[illegible] in connection with his 7/2/86 indictment accusing him of making false material declarations before a SDNY FGJ. Prosecution will be deferred during the term of his good behavior and satisfactory compliance with the terms of the agreement for a period of twelve (12) months.

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V-125
DE-161 56-5853-20

3 (2) Bureau
2 Field Office (1 - NY 56C-603) (1 - NY 66-8492) (1 - Supv. C-1) [redacted] (5)

* See codes on reverse side.

16 JAN 10 1989

OCT 30 1987

Property Type Codes*

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1	Cash (U.S. and foreign currency)
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6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

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-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

*U.S. GOVERNMENT PRINTING OFFICE: 1986-491-5[illegible]42023

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

[redacted] LEXANDRIA

[redacted]

ET AL
ELECTION LAWS
(OO: NY)

Bureau File Number	56C-41
Field Office File Number	2
Squad or RA Number	[redacted]
Agent's Social Security No.	[redacted]

Date 5/22/87 b6 b7C b7E

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17 [redacted]	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served 1
Criminal Summons
Local Crim. Summons

FBI Subj. Resisted ____ ; Armed ____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists ____; All Other Hostage Situations ____
Missing or Kidnaped Children Located ____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District ____ District ____ State ____ Conviction or Pretrial Div. Date ____ Sentence Date ____ No. of Subjects ____ Acquitted ____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

[redacted] b6 b7C

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition form (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

FGJ subpoena served on [redacted] b3 b6 b7C

26-5853-21

16 JUN 2 1987

[redacted] b6 b7C

344

2 - Bureau 1-New York (56C-603)(Info)
2 - Field Office (1-56C-41)(1-Statistics in Process [redacted] (5)
* See codes on reverse side.

APR 1 1988

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

SEP 10 1987

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C = Chinese; I = Indian/American; J = Japanese; N = Negro; O = All other; U = Unknown; W = White

☆ U.S.G.P.O.: 1986-491-509/45743

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(5 days from date of accomplishment)

[redacted]

DIRECTOR, FBI

SAC, ATLANTA

[redacted]

ET AL
ELECTION LAWS

(OO: NEW YORK)

Bureau File Number
56C-450
Field Office File Number
10
Squad or RA Number
[redacted]
Agent's Social Security No.

Date 6/16/87

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with [redacted] ment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 1
Criminal Summons _____
Local Crim. Summons _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

b6
b7C

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 3 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

56-5853-2

Subject 2 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 4 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

A subpoena was served on [redacted]

b3
b6
b7C

JUN 23 1987 b6 b7C

2 - Bureau
4 - Field Office
See codes on reverse side.

1 - New York (56C-603)
(1 - 56C-450) (1 - 66F-685-SF 1) (1 - 66F-2352) (1 - AT [redacted]

[redacted]

14 DEC 5 1988 (7) 34

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

OCT 0 5 1987

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

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- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

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- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
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6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

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- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

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Instructions

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Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

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-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

☆ U.S.G.P.O.: 1986-491-509/45743

FD-515 (Rev. 2-24-86)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

FROM: S

SUBJECT:
[redacted] aka;
ET AL
ELECTION LAWS
(OO: NY)

Bureau File Number	56C-41
Field Office File Number	2
Squad or RA Number	
Agent's Social Security No.	

Date 5/5/87

Investigative Assistance or Techniques Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served 3
Criminal Summons
Local Crim. Summons
FBI Subj. Resisted _____ ; Armed _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	[redacted]
	$	$		
	$	$		
	$	$		$
	$	$		$
	$	$		$

b6
b7C

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 2 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	[redacted]
☐ Plea				Total Fines $				
☐ Trial				Add consecutive sentences together.				
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.				

b6
b7C

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

Subpoenas served on [redacted]

DE 56-5853-23

2 MAY 12 1987

b3
b6
b7C

2 - Bureau
2 - Field Office
* See codes on reverse side.

1-New York (56C-603)
(1-56C-41) (1-Statistics in Process) [redacted] (5)

[redacted]

b6
b7C

16 JAN 09 1989

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects (Include Family Name Or Group):
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN
- 1E Not a Member or Associate of LCN Family or OC Organization

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

*U.S. GOVERNMENT PRINTING OFFICE: 1986-491-510

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

[redacted]

Bureau File Number: 56C-80

Field Office File Number: WC (2)

Squad or RA Number: [redacted]

Agent's Social Security No.: [redacted]

CHARLES

OTHERS: [redacted]

Date 4/29/87

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:

1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. S[redacted]	6. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. S[redacted]	7. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

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dba FIRST JERSEY SECURITIES; ELECTION LAWS; OO: NY

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____; Armed _____

Subpoenas Served 1
Criminal Summons _____
Local Crim. Summons _____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 3 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive senten[redacted]
Enter longest single co[redacted]
Do not add concurrent [redacted]
Sentence 10 yrs.-8 yrs [redacted] In-Jail.

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Subject 2 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

Subject 4 Subject Description Code* —

☐ Felony / ☐ Misdemeanor	Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos

☐ Plea ☐ Trial ☐ Pretrial Diversion

Total Fines $
Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.

V-23

DE-229

56 - [redacted]

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

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On March 25, 1987, a Federal Grand Jury subpoena was served on [redacted] commanding him to appear in Room 1401 at the United States Courthouse, Foley Square-Manhattan, New York, New York, on [redacted]

2 - Bureau
2 - Field Office (1-56-86) (1-Supervisor 2) (1-ASAC - Stat Folder)
* See codes on reverse side.

[redacted]

(5)

Cons To Tu 1/14/85
wd 12-7 SOD
JUL 2 0 1987

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

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- 2A Top Ten or I.O. Fugitive
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Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
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- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.

-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

☆ U.S.G.P.O.: 1986-491-509/45743

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT

[redacted] from date of accomplishment)
[redacted]CTOR, FBI

[redacted], NEW ORLEANS

[redacted] next page)

58-5853	Bureau File Number
56C-483	Field Office File Number
3	Squad or RA Number
[redacted]	Agent's Social Security No.

Date 4/2/87

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

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A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted _____ ; Armed _____

Subpoenas Served 2
Criminal Summons _____
Local Crim. Summons _____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

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b7C

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	[redacted] A Payment Here

F. Final Judicial Process: Judicial District _____ | District | State | Conviction or Pretrial Div. Date | Sentence Date | [redacted] | [redacted]itted | Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

On 3/23/87, subpoenas were served on [redacted] by SA [redacted]
Subpoenas were served by the FBI upon request of AUSA [redacted] Southern District of New York (SDNY).

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[redacted]

2 JUN 0 6 1989

2 Bureau
4 Field Office
[redacted] verse side.

(1- 56C-483) (1- Stats in Process) (1- SA [redacted] personnel folder)
(1- #3 Secretary

[redacted]
(6)

CONS/ECON TO DED 4-25-87

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
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Code No	Description
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25	Ransom, Extortion or Bribe Demand Aborted
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27	Commercial or Industrial Theft Scheme Aborted
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- 2A Top Ten or I.O. Fugitive
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- 3A Legal Alien
- 3B Illegal Alien
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- 4A Known Member of a Terrorist Organization
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- 5C Union Employee

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6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
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B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

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1. The subject becomes a fugitive after conviction but prior to sentencing.
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Race Codes:

C = Chinese; I = Indian/American; J = Japanese; N = Negro; O = All other; U = Unknown; W = White

☆ U.S.G.P.O.: 1986-491-509/45743

NO 56C-483

Subject:

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b7C

CHARLES OEHLERT;

dba First Jersey Securities
ELECTION LAWS
OO: NEW YORK

pg 2

FD-515 (Rev. 6-6-85)
ACCOMPLISHMENT REPORT
(Effective 10/1/85)
(Submit within 30 days from date of accomplishment)

TO: DIRECTOR, FBI

[redacted] SAC, ATLANTA (P)

[redacted] aka
ET AL;
dba, First Jersey
Securities;
ELECTION LAWS;
OO: NEW YORK

Bureau File Number
56C-450
Field Office File Number
SQ 10
Squad or RA Number
[redacted]
Agent's Social Security No.

Date 4/16/87

Investigative Assistance or Technique Used

Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
b7C
b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served 3
Criminal Summons
Local Crim. Summons

FBI Subj. Resisted _____ ; Armed _____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists _____; All Other Hostage Situations _____

Missing or Kidnaped Children Located _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

E. Civil Matters

	Government Defendant	Government Plaintiff
Amount of Suit	$	$
Settlement or Award	$	$ Enter AFA Payment Here

F. Final Judicial Process: Judicial District _____ District _____ State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 3 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 2 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Subject 4 Subject Description Code* —

	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs	Mos	Suspended Yrs	Mos	Probation Yrs	Mos
☐ Felony									
☐ Misdemeanor									
☐ Plea				Total Fines $					
☐ Trial				Add consecutive sentences together.					
☐ Pretrial Diversion				Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.-8 yrs. susp. = 2 yrs. In-Jail.					

Attach additional forms if reporting final judicial process on more than four subjects, and submit a final disposition for (R-84) for each subject.

56-[redacted]-26

Remarks: (For every subject reported in Sections A, B or F above, provide name, DOB, race*, sex, and POB and SSAN if available.)

Grand Jury subpoenas were served on [redacted]
[redacted]

15 APR 22 1987

[redacted]

b3
b6
b7C

2 - Bureau
2 - Field Office
* See codes on reverse side

(1 - 56C-450) (1 - 66F-685-SF1) (1 - 66F-2352) (1 - AT [redacted]
1 - NEW YORK

68 [redacted] MAY 5 1989

Out of Bow to Cons [redacted] b6 b7C

Property Type Codes*

Code No	Description
1	Cash (U.S. and foreign currency)
2	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6	Bulk Materials (grain, fuel, raw materials, metals, wire, etc)
7	Jewelry (including unset precious and semiprecious stones)
8	Precious Metals (gold, silver, silverware, platinum, etc)
9	Art, Antiques or Rare Collections
11	Weapons or Explosives
20	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

[redacted] 320/W

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*
- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects:
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:
- A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.
- C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:
It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:
Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:
1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:
The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, **not** the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:
-Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
-The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:
C=Chinese; I=Indian/American; J=Japanese; N=Negro; O=All other; U=Unknown; W=White

☆ U.S.G.P.O.: 1986-491-509/45743